

ANNEXURE "A" TO THE DIRECTORS' REPORT

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN

As on financial year ended on 31.03.2015

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1.	CIN	L74899HR1962PLC002618
2.	Registration Date	AUGUST 04, 1962
3.	Name of the Company	FRICK INDIA LIMITED
4.	Category / Sub Category of the Company	PUBLIC LIMITED COMPANY
5.	Address of the Registered office & Contact Details	21.5 KM, MAIN MATHURA ROAD, FARIDABAD - 121003 HARYANA PH : 0129-2275691-94. FAX : 0129-2275695 e-mail : fd@frick.co.in
6.	Whether Listed Company	LISTED WITH DELHI STOCK EXCHANGE
7.	Name, Address & Contact details of the Registrar & Transfer Agent, If any	Link Intime India Pvt. Ltd., 44, Community Centre, 2nd Floor, Nariana Industrial Area, Phase-I, Near PVR, Nariana, New Delhi - 110028 Tel : 011-41410592 / 93/94 Fax : 011-41410591 email : delhi@linkintime.co.in

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name of Description of Main products / services	NIC Code of the Product / Service	% in total turnover of the Company
1.	Manufacture of other general purpose machinery	2819	100%
2.			
3.			

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (NOT APPLICABLE)

SN	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING / SUBSIDIARY ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
1.	NIL	NIL	NIL	NIL	NIL
2.	NIL	NIL	NIL	NIL	NIL
3.	NIL	NIL	NIL	NIL	NIL

IV. SHARE HOLDING PATTERN (Equity Shares Capital Breakup as percentage of Total Equity)

Category of Shareholders	No. of Share at the beginning of the Year				No. of Shares held at the end of the Year				% Change during the Year
	Demat	Physical	Total	% of Total	Demat	Physical	Total	% of Total	
A. Promoters									
(1) Indian									
a) Individual / HUF	1100	130671	131771	21.96	1100	130671	131771	21.96	0
b) Central Govt.	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
c) State Govt(s)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
d) Bodies Corp.	NIL	11865	11865	1.98	NIL	11865	11865	1.98	0
e) Banks / FI	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
f) Any other (Foreign-NRI)	NIL	240002	240002	40.00	nil	240002	240002	40.00	0
Total Shareholding of Promoter (A)	1100	382538	383638	63.94	1100	382538	383638	63.94	0
B. Public Shareholding									
1. Institutions	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
a) Mutual Funds	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
b) banks / FI	NIL	25	25	0	NIL	25	25	0	0
c) Central Govt.	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
d) State Govt(s)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
e) Venture Capital Funds	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
f) Insurance Companies	28500	0	28500	4.75	28500	0	28500	4.75	0
g) FIs	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
h) Foreign venture capital Funds	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
l) Other (specify)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Sub-total (B) (1)	28500	25	28525	4.75	28500	25	28525	4.75	0
2. Non-Institutions									
a) Bodies Corp.	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
i) Indian	6865	200	7065	1.18	5962	200	6162	1.03	13.15
ii) Overseas	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
b) Individuals	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
i) Individual Shareholders holding nominal share capital upto Rs. 1 lakh	102736	75562	178298	29.72	101089	73237	174326	29.06	1.60
ii) Individual Shareholders holding nominal share capital in excess of Rs. 1 lakh	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
c) Other (specify) (HUF)	1461	100	1561	0.26	6336	100	6436	1.07	333.68
Non resident Indians	163	725	888	0.15	163	725	888	0.15	0
Overseas Corporate Bodies	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Foreign Nationals	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Clearing Members	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Trusts	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Foreign Bodies - D R	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Sub-total (B) (2)	111225	76587	187812	31.31	113550	74262	187812	31.31	2.09
Total Public Shareholding	139725	76612	216337	36.06	142050	74287	216337	36.06	0
C. Shares held by Custodian for GDRs & ADRs	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Grand Total (A+B+C)	140825	459150	599975	100	143150	456825	599975	100	0

B. Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in shareholding during the year
		No. of Shares	% of Total shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of Total shares of the company	% of Shares Pledged / encumbered to total shares	
1	Jasmohan Singh	8500	1.42	0	8500	1.42	0	0
2.	Dr. J B Singh*	240002	40.00	0	240002	40.00	0	0
3.	M S KoldHold Industries P. Ltd.	11865	1.98	0	11865	1.98	0	0
4.	Gurmohan Singh	2801	0.47	0	2801	0.47	0	0
5.	Gurleen Kaur	3300	0.55	0	3300	0.55	0	0
6.	Jasleen Kaur	9835	1.64	0	9835	1.64	0	0
7.	Sarabjit Singh	14201	2.37	0	14201	2.37	0	0
8.	Pamela M Singh	89709	14.95	0	89709	14.95	0	0
9.	Jagmit Singh	1100	0.18	0	1100	0.18	0	0
10.	Gurmit Singh	1825	0.30	0	1825	0.30	0	0
11.	Sonu Singh	500	0.08	0	500	0.08	0	0

* The Hon'ble High Court of Delhi vide their order CS(OS) 3506/2012 dated 21.12.2012 restrained Mr. Jang Bahadur Singh ('JBS') (Folio No. J-324) from exercising voting rights on the shares of Frick India Limited (FIL) registered in his name to the extent of 20% shareholding in FIL constituting 120,001 (One Lac Twenty Thousand One) equity shares in number (i.e. 50% of total shares held by JBS), in the Annual General Meeting of FIL held on 29th December 2012, and in all future meetings and also granted interim relief by ordering that the said voting right shall be available to the benefit of Mr. Jasmohan Singh and his siblings namely Mr. Gurmohan Singh, Ms. Jasleen Kaur and Ms. Gurleen Kaur and Mr. JBS is further restrained from executing any instrument of whatsoever nature dealing with or otherwise creating or transferring any right, title or interest in respect of said 20% shareholdings in FIL in favour of any third party. This is for necessary information and records.

C. Change in Promoters' Shareholding (please specify, if there is no change)

SN.	Particulars	Shareholding at the beginning of the year	Cumulative Shareholding during the year		
		No. of Shares	% of Total shares of the company	No. of Shares	% of total Shares of the Company
	At the beginning of the year	383638	63.94%	383638	63.94%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	0	0	0	
	At the end of the year	383638	63.94%	383638	63.94%

**D. Shareholding Pattern of top ten Shareholders:
(Other than Directors, Promoters and Holders of GDRs and ADRs):**

SN.	For Each of the Top 10 Shareholders	Shareholding at the	Cumulative Shareholding during the year		
			% of Total shares of the company	No. of Shares	% of total Shares of the Company
1	(Mr. Mahendra Girdharilal)	No. of Shares			
	At the beginning of the year	32364	5.39%	32364	5.39%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	0	0	0	
	At the end of the year	32364	5.39%	32364	5.39%

SN.	For Each of the Top 10 Shareholders	Shareholding at the	Cumulative Shareholding during the year		
			% of Total shares of the company	No. of Shares	% of total Shares of the Company
2	(New India Assurance Company Ltd.)	No. of Shares			
	At the beginning of the year	24000	4.00%	24000	4.00%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	0	0	0	
	At the end of the year	24000	4.00%	24000	4.00%

SN.	For Each of the Top 10 Shareholders	Shareholding at the	Cumulative Shareholding during the year		
			% of Total shares of the company	No. of Shares	% of total Shares of the Company
3	(Mr. V. P. Punj)	No. of Shares			
	At the beginning of the year	8175	1.36%	8175	1.36%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	0	0	0	
	At the end of the year	8175	1.36%	8175	1.36%

SN.	For Each of the Top 10 Shareholders	Shareholding at the	Cumulative Shareholding during the year		
			% of Total shares of the company	No. of Shares	% of total Shares of the Company
4	(Mr. Hardik Shah)	No. of Shares			
	At the beginning of the year	3855	0.64%	3855	0.64%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	0	0	0	
	At the end of the year	3855	0.64%	3855	0.64%

SN.	For Each of the Top 10 Shareholders	Shareholding at the	Cumulative Shareholding during the year		
5.	(The Property Company Pvt. Ltd.)	No. of Shares	% of Total shares of the company	No. of Shares	% of total Shares of the Company
	At the beginning of the year	2910	0.49%	2910	0.49%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	0	0	0	
	At the end of the year	2910	0.49%	2910	0.49%

SN.	For Each of the Top 10 Shareholders	Shareholding at the	Cumulative Shareholding during the year		
6.	(Mr. Pauruchisti C. Katrak)	No. of Shares	% of Total shares of the company	No. of Shares	% of total Shares of the Company
	At the beginning of the year	3000	0.50%	3000	0.50%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	0	0	0	
	At the end of the year	3000	0.50%	3000	0.50%

SN.	For Each of the Top 10 Shareholders	Shareholding at the	Cumulative Shareholding during the year		
7.	(United India Insurance Co. Ltd.)	No. of Shares	% of Total shares of the company	No. of Shares	% of total Shares of the Company
	At the beginning of the year	2550	0.43%	2550	0.43%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	0	0	0	
	At the end of the year	2550	0.43%	2550	0.43%

SN.	For Each of the Top 10 Shareholders	Shareholding at the	Cumulative Shareholding during the year		
8.	(Mr. Lalit Bhasin)	No. of Shares	% of Total shares of the company	No. of Shares	% of total Shares of the Company
	At the beginning of the year	2000	0.33%	2000	0.33%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	0	0	0	
	At the end of the year	2000	0.33%	2000	0.33%

SN.	For Each of the Top 10 Shareholders	Shareholding at the	Cumulative Shareholding during the year		
			No. of Shares	% of Total shares of the company	No. of Shares
9	(National Insurance Co. Ltd.)	No. of Shares	% of Total shares of the company	No. of Shares	% of total Shares of the Company
	At the beginning of the year	1950	0.33%	1950	0.33%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	0	0	0	
	At the end of the year	1950	0.33%	1950	0.33%

SN.	For Each of the Top 10 Shareholders	Shareholding at the	Cumulative Shareholding during the year		
			No. of Shares	% of Total shares of the company	No. of Shares
10	(Mr. Deepak Mehta)	No. of Shares	% of Total shares of the company	No. of Shares	% of total Shares of the Company
	At the beginning of the year	1780	0.30%	1780	0.30%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	0	0	0	
	At the end of the year	1780	0.30%	1780	0.30%

E) **Shareholding of Directors and Key Managerial Personnel:**

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	(Mr. Jasmohan Singh, Managing Director)				
	At the beginning of the year	8500	1.42%	8500	1.42%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	0	0	0	0
	At the end of the year	8500	1.42%	8500	1.42%

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
2.	(Ms. Jasleen Kaur, Non-Executive Director)				
	At the beginning of the year	9835	1.64%	9835	1.64%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	0	0	0	0
	At the end of the year	9835	1.64%	9835	1.64%

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
3.	(Ms. Gurleen Kaur, Non-Executive Director)				
	At the beginning of the year	3300	0.55%	3300	0.55%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	0	0	0	0
	At the end of the year	3300	0.55%	3300	0.55%

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
4.	(Mr. Ramesh Chandra Jain, Independent Director)				
	At the beginning of the year	0	0.00%	0	0.00%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	0	0	0	0
	At the end of the year	0	0.00%	0	0.00%

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
5.	(Mr. Ishtpal Singh, Independent Director)				
	At the beginning of the year	0	0.00%	0	0.00%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	0	0	0	0
	At the end of the year	0	0.00%	0	0.00%

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
6.	(Mr. Madhav B. Shriram, Independent Director)				
	At the beginning of the year	0	0.00%	0	0.00%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	0	0	0	0
	At the end of the year	0	0.00%	0	0.00%

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
7.	(Mr. Jaswinder Singh Jassal, Independent Director)				
	At the beginning of the year	0	0.00%	0	0.00%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	0	0	0	0
	At the end of the year	0	0.00%	0	0.00%

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
8.	(Mr. Mahender Kumar Dooger, Independent Director)				
	At the beginning of the year	0	0.00%	0	0.00%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	0	0	0	0
	At the end of the year	0	0.00%	0	0.00%

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
9.	(Mr. Divaker Jagga, Independent Director)				
	At the beginning of the year	0	0.00%	0	0.00%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	0	0	0	0
	At the end of the year	0	0.00%	0	0.00%

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
10.	(Mr. Sharad Bhatnagar, Director – Finance & Taxation and CFO)				
	At the beginning of the year	0	0.00%	0	0.00%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	0	0	0	0
	At the end of the year	0	0.00%	0	0.00%

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
11.	(Mr. Girish Kumar Gakhar, Senior Manager and Company Secretary)				
	At the beginning of the year	0	0.00%	0	0.00%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	0	0	0	0
	At the end of the year	0	0.00%	0	0.00%

V) **INDEBTEDNESS** -Indebtedness of the Company including interest outstanding/accrued but not due for payment.

Rs. in Lacs

	Secured Loan Excluding Deposits	Unsecured Loan	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year 2014-15				
i) Principal Amount	0	0	0	0
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	0	0	0	0
Change in Indebtedness during the financial year				
* Addition	56.00	0	0	56.00
* Reduction	0	0	0	0
Net Change	56.00	0	0	56.00
Indebtedness at the end of the financial year 2014-15				
i) Principal Amount	56.00	0	0	56.00
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	56.00	0	0	56.00

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN	Particulars of Remuneration	Name of MD /WTD/ Manager	Total Amount (Rs.) In Lacs
		Mr. Jasmohan Singh, Managing Director	
1.	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	40.75	40.75
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	1.01	1.01
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	0	0
2.	Stock Option	0	0
3.	Sweat Equity	0	0
4.	Commission - as % of profit - others, specify...	0	0
5.	Others, please specify	0	0
	Total (A)	41.76	41.76
	Ceiling as per the Act	42.00	42.00

B. Remuneration to other directors

SN	Particular of Remuneration	Name of Directors						Total Amount (Rs. in Lacs)
		Mr. Ishtpal Singh	Mr. Ramesh Chandra Jain	Mr. Jaswinder Singh Jassal	Mr. Madhav B. Shriram	Mr. Mahendra Singh Dooger	Mr. Divaker Jagga	
1	Independent Directors							
	Fee for attending board committee meetings	1.99	3.33	1.77	3.11	1.33	2.88	14.44
	Commission	0	0	0	0	0	0	0
	Other Please Specify	0	0	0	0	0	0	0
	Total (1)	0	0	0	0	0	0	0
2	Non-Executive Directors							
	Fee for attending board committee meetings	0	0	0	0	0	0	0
	Commission	0	0	0	0	0	0	0
	Other Please Specify	0	0	0	0	0	0	0
	Total (2)	0	0	0	0	0	0	0
	Total (B)= (1 +2)	0	0	0	0	0	0	0
	Total Managerial Remuneration (Rs. in Lacs)	1.99	3.33	1.77	3.11	1.33	2.88	14.44
	Overall Ceiling as per the Act							

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

SN	Particular of Remuneration	Key Managerial Personnel			TOTAL
		CEO	CS	CFO	
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	0	4.87	18.50	23.37
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0	0	0.26	0.26
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	0	0	0	0
2.	Stock Option	0	0	0	0
3.	Sweat Equity	0	0	0	0
4.	Commission - as % of profit others, specify...	0 0 0	0 0 0	0 0 0	0 0 0
5.	Others, please specify	0	0	1.13	1.13
	Total	0	4.87	19.89	24.76

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ compounding fees imposed (Rs. in Lacs)	Authority [RD/NCLT /court]	Appeal made if any (give details)
A. COMPANY					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	Section 621 A read with section 266F	The Company admit its default and suo-moto move an application for compounding of stated offence in respect of not quoting or mentioning the DIN of the director(s) of the Company on the Balance Sheet, Directors' Report etc, or any other document(s) of the Company, wherever the designation of the director with name has been used, for the Financial Year(s) 2009-10 and 2010-11.	0.20	Company Law Board New Delhi Bench	
A. DIRECTORS					
A) Mr. Jasmohan Singh - Managing Director					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	Section 621 A read with section 266F	The Applicant (Managing Director- Mr. Jasmohan Singh) admit his default and suo-moto move an application for compounding of stated offence in respect of not quoting or mentioning the DIN of the director(s) of the Company on the Balance Sheet, Directors' Report etc, or any other document(s) of the Company, wherever the designation of the director with name has been used, for the Financial Year(s) 2009-10 and 2010-11.	0.20	Company Law Board New Delhi Bench	
	Section 621A read with section 211(1) and Part I of Schedule VI	The Applicant (Managing Director- Mr. Jasmohan Singh) admit his default and suo-moto move an application for compounding of stated offence in respect of inability in providing requisite information from Vendors with regard to amounts due to Small Scale Industries ('SSI') units falling under Micro, Small and Medium Enterprises Development Act, 2006 on the face of Balance Sheet(s) for the Financial Year(s) 2009-10 and 2010-11	0.07	Company Law Board New Delhi Bench	NIL
	Section 621 A read with section 217(3)	The Applicant (Managing Director - Mr. Jasmohan Singh) admit his default and suo-moto move an application for compounding of stated offence in respect of not providing fullest information and explanation in the Directors' Report against the Auditors' comments for the Financial Year 2009-10, 2010-11 and 2011-12	0.12	Company Law Board New Delhi Bench	NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ compounding fees imposed (Rs. in Lacs)	Authority [RD/NCLT /court]	Appeal made if any (give details)
	Section 621A read with section 209(3)(b)	The Applicant (Managing Director - Mr. Jasmohan Singh) admit his default and suo-moto move an application for compounding of stated offence for not making of any provision of liability for Sales Tax, Income Tax and E.S.I., being disputed in the pending appeals for the Financial Year(s) 2009-10, 2010-11, 2011-12 and 2012-13	0.10	Company Law Board New Delhi Bench	NIL
	Section 621A read with section 211 (3a) of the Act and Accounting Standard 20	The Applicant (Managing Director - Mr. Jasmohan Singh) admit his default and suo-moto move an application for compounding of stated offence in respect of not disclosing basic and diluted earnings per share separately at the face of balance sheet(s) for the Financial Year(s) 2009-10 and 2010-11 and also admit his default made under Accounting Standard 13 in respect of not disclosing investments in a manner prescribed under Accounting Standard 13 in the balance sheet(s) for the Financial Year(s) 2009-10 and 2010-11	0.10	Company Law Board, New Delhi Bench	NIL
b) MR. SHARAD BHATNAGAR - DIRECTOR (FINANCE & TAXATION)					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	Section 621A read with section 211(1) and Part I of Schedule VI	The Applicant [Director (Finance & Taxation - Mr. Sharad Bhatnagar] admit his default and suo-moto move an application for compounding of stated offence in respect of inability in providing requisite information from Vendors with regard to amounts due to Small Scale Industries ('SSI') units falling under Micro, Small and Medium Enterprises Development Act, 2006 on the face of Balance Sheet(s) for the Financial Year(s) 2009-10 and 2010-11	0.07	Company Law Board New Delhi Bench	NIL
	Section 621 A read with section 209(3) (b)	The Applicant [Director (Finance & Taxation - Mr. Sharad Bhatnagar] admit his default and suo-moto move an application for compounding of stated offence for not making of any provision of liability for Sales Tax, Income Tax and E.S.I., being disputed in the pending appeals for the Financial Year(s) 2009-10, 2010-11, 2011-12 and 2012-13	0.10	Company Law Board New Delhi Bench	

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ compounding fees imposed (Rs. in Lacs)	Authority [RD/NCLT /court]	Appeal made if any (give details)
	Section 621(A) read with Section 211(31) of the Act and Accounting Standard 20	The Applicant [Director (Finance & Taxation - Mr. Sharad Bhatnagar] admit his default and suo-moto move an application for compounding of stated offence in respect of not disclosing basic and diluted earnings per share separately at the face of balance sheet(s) for the Financial Year(s) 2009-10 and 2010-11 and also admit his default made under Accounting Standard 13 in respect of not disclosing investments in a manner prescribed under Accounting Standard 13 in the balance sheet(s) for the Financial Year(s) 2009-10 and 2010-11	0.10	Company Law Board New Delhi Bench	NIL
c) MR .ISHTPAL SINGH - DIRECTOR					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	Section 621 A read with section 217(3)	The Applicant (Director - Mr. Ishtpal Singh) admit his default and suo-moto move an application for compounding of stated offence in respect of not providing fullest information and explanation in the Directors' Report against the Auditors' comments for the Financial Year 2009-10, 2010-11 and 2011-12	0.02	Company Law board new Delhi Bench	NIL
d) OTHER OFFICERS IN DEFAULT - [Mr. RAKESH CHANDRA SHARMA - COMPANY SECRETARY)					
Penalty					NIL
Punishment					NIL
Compounding	Section 621 A read with section 211(1) and Part I of Schedule VI	The officer (Company Secretary) admit his default and suo-moto move an application for compounding of stated offence in respect of inability in providing requisite information from Vendors with regard to amounts due to Small Scale Industries ('SSI') units falling under Micro, Small and Medium Enterprises Development Act, 2006 on the face of Balance Sheet(s) for the Financial Year(s) 2009-10 and 2010-11	0.02	Company Law Board New Delhi Bench	NIL